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# INTEGRATED INFORMATION

International Conference on Integrated Information

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## Preface: Proceedings of the International Conference on Integrated Information (IC-ININFO 2011)

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#### Aims and Scope of the Conference

The International Conference on Integrated Information 2011 took place in Kos Island, Greece, between September, 29 and October, 3, 2011. IC-ININFO is an international interdisciplinary conference covering research and development in the field of information management and integration.

The conference aims at creating a forum for further discussion for an Integrated Information Field incorporating a series of issues and/or related organizations that manage information in their everyday operations. Therefore, the call for papers is addressed to scholars and/or professionals of the fields of Library and Archives Science (including digital libraries and electronic archives), Museum and Gallery Studies, Information Science, Documentation, Information Management, Records Management, Knowledge Management, Data management and Copyright experts the latter with an emphasis on Electronic Publications. Furthermore, papers focusing on issues of Cultural Heritage Management and Conservation Management are also be welcomed along with papers regarding the Management of Nonprofit Organizations such as libraries, archives and museums.

One of the primary objectives of the IC-ININFO will be the investigation of information-based managerial change in organizations. Driven by the fast-paced advances in the Information field, this change is characterized in terms of its impact on organizations that manage information in their everyday operations.

Grouping emerging technologies in the Information field together in a close examination of practices, problems and trends, IC-ININFO and its emphases on integration and management will present the state of the art in the field. Addressed jointly to the academic and practitioner, it will provide a forum for a number of perspectives based on either theoretical analyses or empirical case studies that will foster dialogue and exchange of ideas.

#### **Topics of general Interest**

Library Science, Archives Science, Museum and Gallery Studies, Information Science, Documentation, Digital Libraries, Electronic Archives, Information Management, Records / Document Management, Knowledge Management, Data Management, Copyright, Electronic Publications, Cultural Heritage Management, Conservation Management, Management of Nonprofit Organizations, History of Information, History of Collections, Health Information

#### Symposia

The Conference offered a number of sessions under its patronage, providing a concise overview of the most current issues and hands-on experience in information-related fields.

- Symposium on Integrated information: Theory, Policies, Tools
- 4th Symposium on Business and Management and Dynamic Simulation Models supporting management strategies

- Session on Open Access Rrepositories: Self-archiving, Metadata, Content policies, Usage
- Session on Evidence-Based Information in Clinical Practice
- Session on Business Management and Communication Strategies supporting Decision Making Process in Tourism Sector
- Session on Electronic Publishing: A Developing Landscape
- Session on Information and Knowledge Management
- Session on Information Content Preservation as Outcome of Conservation of Cultural Heritage: Ethics, Methodology and Tools
- Session on Advances Information for Strategic Management
- Session on Information History: Perspectives, Methods and Current Topics
- Session on Divergence and Convergence: Information Work in Digital Cultural Memory Institutions
- Session on Contemporary issues in Management: Organisational Behaviour, Information Technology, Education & Hospital leadership.

The wide range of aspects that the sessions covered, highlighted future trends in the Information Science.

#### **Paper Peer Review**

More than 300 papers had been submitted for consideration in IC-ININFO 2011. From them, 91 were selected for presentation, after peer review in a double blind review process. The accepted papers were presented at IC-ININFO 2011.

#### **Thanks**

We would like to thank all members that participated in any way in the IC-ININFO 2011 Conference and especially:

- The famous publishing house Emerald for its communication sponsorship.
- The co-organizing Universities and Institutes for their support and development of a high-quality Conference scientific level and profile.
- The members of the Scientific Committee that honored the Conference with their presence and provided a significant contribution to the review of papers as well as for their indications for the improvement of the Conference.
- All members of the Organizing Committee for their help, support and spirit participation before, during and after the Conference.
- The Session Organizers for their willing to organize sessions of high importance and for their editorial work, contributing in the development of valued services to the Conference.
- PhDc Marina Terzi for her excellent editorial work, contributing in the production of the Conference proceedings.

#### **CONFERENCE DETAILS**

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#### KEYNOTE SPEAKER



Professor Amanda Spink

Professor Amanda Spink has published over 340 scholarly journal articles, refereed conference papers and book chapters, and 6 books. Many of her journal articles are published in the Journal of the American Society for Information Science and Technology, Information Processing and Management, and the Journal of Documentation. She is Editor of the Emerald journal Aslib Proceedings. Amanda's research has been published at many conferences including ASIST, IEEE ITCC, CAIS, Internet Computing, ACM SIGIR, and ISIC Conferences. Her recent books include Information Behavior: An Evolutionary Instinct and Web Search: Multidisciplinary Perspectives, both published by Springer. Amanda's research focuses on theoretical and empirical studies of information behavior, including the evolutionary and developmental foundations. The National Science Foundation, the American Library Association, Andrew R. Mellon Foundation, Amazon.com, Vivisimo. Com, Infospace.com, NEC, IBM, Excite.com, AlltheWeb.com, AltaVista.com, FAST, and Lockheed Martin have sponsored her research. In 2008 Professor Spink had the second highest H-index citation score in her field from 1998 to 2008 [Norris, M. (2008)]. Ranking Fellow Scholars and their H-Index: Preliminary Survey Results. Loughborough University, Dept of Information Science Report].

#### Aggregating Metadata for Europeana: The Greek paradigm

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Abstract: Europeana is an evolving service that tries to be a single access point for Europe's cultural heritage. The metadata aggregation for Europeana is a procedure that needs interoperability and software tools for transforming metadata to Europeana Semantic Elements (ESE). This aggregation imposes requirements difficult to be implemented in many small institutions. To accommodate these needs and help the Greek institutions contribute their content to Europeana, we developed a series of tools. The Hellenic Aggregator that has established a single communication point with Europeana. The Open Archives Engine (OAE) software for creating digital library aggregators. The oaipmh.com tool for validating OAI-PMH enabled repositories. Other wrappers that enable repeatable generation and harvesting of ESE-compatible metadata via OAI-PMH. We present these tools and the way that helped the Greek cultural institutions in contributing content to Europeana.

**Keywords:** Europeana, EuropeanaLocal, OAI-PMH, Metadata harvesting, Europeana semantic elements.

#### I. INTRODUCTION

Repositories and digital libraries are distributed among European Countries. Various formats, different content types, multiple metadata schemes are used. This knowledge either in cultural or in science sector should be accessible to Europeana citizens for awareness and dissemination. From this need various aggregation schemes have derived. *Europeana* (the European Digital Library) is an evolving service that tries to be a single access point for Europe's cultural heritage. According to recent researches, Europeana seems to be service of a vital importance for European cultural awareness. This may be certified by recent surveys (IRN Research, 2011). In this context and in order these content to be ingested various tools have been developed.

This paper analyses the Greek paradigm and the toolset that has been developed for data providers.

## II. EUROPEANA AND EUROPEANALOCAL: WHERE WE STAND TODAY

Europeana service (Koninklijke Bibliotheek, 2009) is designed to increase access to digital content across Europe's cultural organizations (i.e. libraries, museums, archives and audio/visual archives). In order to achieve these goals *European Union* (EU) launched various projects. One of the most fruitful was EuropeanaLocal, which ran from 1 June 2008 to 31 May 2011. It had 32 partners from 27 countries, 1031 plus person months and €4.3 million budget. Up to 21 July 2011, EuropeanaLocal partners made available to Europeana live service 4.984.952 items, and 160.000 items from Region Marche ingested via *Culturaltalia* (2006), make o total of 5.144.952 items. More than 20 million items, held across 27 countries. Finally, another 1.075.791 items are on the way for harvesting.

In conclusion, EuropeanaLocal project, till the 4<sup>th</sup> of July 2011, contributed 26% of total current Europeana content. It had a great impact on Europeana strategy and awareness, documentation and guidelines, workflows and on tools and support. Technical and interoperability challenges were solved and the European aggregations infrastructure was enhanced. However, long term systemic problems (e.g. finance, staff availability that is qualified), remained. Finally, more work has to be done in ingesting more local archival content from municipal/regional archives, church councils, local history associations, etc (Rowlatt *et al.*, 2011).

# III. THE GREEK CASE: SOLVING TECHNICAL ISSUES AND DEVELOPING SOFTWARE TOOLS

Greece is participating in EuropeanaLocal with content providers and the Hellenic Aggregator created and supported by the Veria Central Public Library (VCPL). One of the most important aspects in the process of creating a Europeana Compliant digital repository is the support for ESE. Existing digital repository software in general does not support ESE by default. The DSpace plugin for Europeana Semantic Elements webpage (Banos, 2010), developed by the VCPL and the Hellenic National Documentation Centre (EKT), provides specific information about the process (Houssos *et al.*, 2011).

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DEiXto, Hellenic Aggregator, Open Archives Engine and oaipmh.com are tools created to help organizations participate in aggregation schemes such as Europeana, even if they do not use open digital repository platforms, but closed source technologies or legacy software, which do not support OAI-PMH or any other form of automatic metadata exchange.

## IV. THE HELLENIC AGGREGATOR FOR EUROPEANA

Until August 2011, thirteen Greek cultural organizations are already participating in EuropeanaLocal (Koulouris *et al.*, 2010) and are making their metadata available to the Europeana service. These organizations are:

#	Organization	Records
1	Pandektis - National Documentation Center of Greece (http://pandektis.ekt.gr/)	38.880
2	Medusa - Veria Central Public Library (http://medusa.libver.gr/)	1.547
3	The Historical Archives of the American Farm School of Thessaloniki (http://ouranos.afs.edu.gr/dspace)	712
4	Technical Chamber of Greece Regional Department of Corfu (http://lib.teekerk.gr/)	141
5	Central Library of NTUA (http://dspace.lib.ntua.gr/)	3.103
6	Music Library - Lilian Voudouri (http://digma.mmb.org.gr/)	2.796
7	Corgialenios Digital Library (http://www.corgialenios.gr/library/)	7.053
8	University of Athens – Pergamos digital library (http://pergamos.lib.uoa.gr/)	74.494
9	Hellenic Ministry of Education – Educational Television (http://www.edutv.gr/)	661
10	Anatolia College - Digital Archives & Special Collections (http://www.anatolia.edu.gr/digitalarchives)	447
11	Technical Chamber of Greece Library (http://library.tee.gr)	5.783
12	Serres Central Public Library (http://ebooks.serrelib.gr)	464
13	Levadia Central Public Library (http://ebooks.liblivadia.gr)	142
	Total	136.223

Table 1. Greek cultural organizations that participate in Europeana.

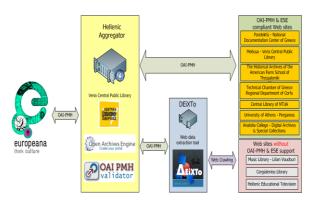


Figure 1. The Hellenic Aggregator Architecture.

Essentially, becoming part of Europeana means that Europeana is able to retrieve specific information from a digital library. One way of doing such a thing would be to connect with each digital library using the appropriate network communication protocol and retrieve the following data:

- Metadata (descriptive, administrative) describing a digital object. The metadata must be mapped to the ESE v3.4 (Europeana v1.0, 2011),
- A preview or thumbnail of the described object,
- Persistent identifiers active and stable links to the described digital object on the provider's site or the portal's site.

Obviously, the amount and type of content, the technical infrastructure, the output formats and the documentation available can vary significantly among all these content providers. It is, therefore, practically impossible for Europeana to work individually with every content provider due to the enormous amount of work that the harmonization and normalization of metadata would require. As a result, Europeana works with an intermediate layer of content providers, the aggregators.

The Hellenic Aggregator (Veria Central Public Library, 2010) functions as an intermediary on a national level, retrieving data from each participating organization and creating a single communication point with Europeana. What is more, the Hellenic Aggregator's role within Europeana is not confined to submitting metadata. Aggregators also play a key role in other fields:

- Disseminating the vision and objectives of Europeana to their network of institutions in order to increase support for and involvement with Europeana.
- Providing valuable feedback about the issues and discussions from their field.
- Promoting and implementing standards further along the content provision chain.
- Providing domain specific expertise and skills to institutions and Europeana.

#### A. Registering the Hellenic Aggregator

The process of registering a new digital library to the Hellenic Aggregator is described below:

- 1. Initially, the digital library web site is examined by an expert who concludes whether it contains content suitable for Europeana.
- 2. If the digital library supports OAI-PMH, an expert from the EuropeanaLocal Group conducts metadata tests, identifies potential problems and suggests possible solutions.
- If the digital library does not support OAI-PMH, DEiXTo software is used to harvest the required metadata from the target HTML pages.

- 4. As soon as the digital library's metadata comply with the Europeana standards, it is registered in the Hellenic Aggregator.
- 5. Content Provider Agreement is signed by the digital library director.
- 6. The digital library content is published in Europeana.

#### **B. Software Tools**

The software infrastructure of the Hellenic Aggregator consists of three different tools which function complementarily in order to implement the full lifecycle of digital library validation, data extraction, storage and communication with Europeana. The core of metadata harvesting, storage and communication with Europeana is implemented by Open Archives Engine while specific data extraction tasks are handled by DEiXTo software. Last but not least, OAI-PMH protocol support and standards compliance for all partners is evaluated using OAIPMH.com.

#### V. OPEN ARCHIVES ENGINE

Open Archives Engine (OAE) (Banos, 2009) software can be used to create a metadata aggregator and search portal using OAI-PMH enabled, web accessible digital repositories. OAE utilizes the OAI-PMH protocol in order to retrieve metadata from multiple digital libraries and create an index, which then can be used not only to search and filter information but also to export information in a variety of formats such as OAI-PMH Dublin Core (DC) and ESE. Additionally, OAE leverages the technology of DEiXTO in order to extract metadata from legacy digital libraries.

The main components of OAE are the metadata harvester and the web interface.

#### A. OAE Metadata Harvester

The OAE Metadata Harvester is responsible for connecting with digital libraries and extracting their metadata. After retrieving the metadata from a content provider the one way or the other, the OAE software applies filtering and normalization techniques in order to prevent errors and increase the quality of the metadata.

- XML document encoding and structure is checked using the HTML Tidy (Ragget, 2008) library and a number of errors such as adding missing tags or removing and resolving inappropriate XML characters are resolved.
- Validation against ESE & Dublin Core XML Schemas (DCMI, 2011) is performed.
- Validation for invalid metadata values such as invalid URLs, dates or missing fields is performed.
- Special library-specific bug fixes are applied.

Finally, system indexes are updated and the Hellenic aggregator is ready to publish the aggregated data.

#### **B. OAE Web Service**

OAE web interface is responsible not only for creating a web portal from which users are able to search, browse and view metadata records and navigate to the original archives, but also for outputting metadata in a variety of formats such as Dublin Core and ESE, as well as OpenSearch (A9.com, Inc, 2011) and JSON (1999). Currently, Europeana communicates with the Hellenic Aggregator using the OAI-PMH interface.

#### VI. DEIXTO

Digital libraries developed in the past did not support up to date technologies such as OAI-PMH or any kind of metadata extraction using web services. As a result, the inclusion of such libraries in the Hellenic Aggregator and the Europeana would be impossible without the use of advanced data extraction tools such as DEiXTo.

DEiXTo (Donas, 2010) is a powerful web data extraction tool that is based on the W3C Document Object Model (DOM) (W3C, 2005). It allows users to create highly accurate "extraction rules" (wrappers) that scribe what pieces of data to scrape from a website. DEiXTo can contend with a wide range of websites with high precision and recall. It provides the user with an arsenal of features aiming at the construction of well-engineered extraction rules. Wrappers built with GUI DEiXTo can be scheduled to run automatically providing automated access to resources of interest and saving users a lot of time, energy and repetitive effort.

DEiXTo extracts data and stores it in various formats. In order to facilitate data extraction for the Hellenic Aggregator, a special output plugin has been developed for DEiXTo in order to generate ESE XML files.

#### VII. OAIPMH.COM

The process of validating an OAI-PMH enabled digital library is quite complex and may become tedious when dealing with a large number of digital libraries. In order to alleviate the task of validating a large number of libraries contributing content to Europeana, a novel online tool has been developed. OAIPMH.com is a web application capable of performing all the necessary checks required to ensure that an OAI-PMH enabled digital library is ready to be part of Europeana.

OAIPMH.com (Banos, 2011) consists of a serverside application running in the background and a modern web interface running on the web. Users can either validate a digital library or download all the records from one or more digital libraries in their computers.

• Validation: The validation of an OAI-PMH enabled digital library requires only the submission of the OAI-PMH web service URL. The application issues all OAI-PMH commands to the digital library and evaluates the output according to XML Schemas of DC and ESE as well as the Europeana Guidelines.

 Metadata extraction: Users can input a list of OAI-PMH URLs and retrieve all the metadata records which are available from them in parallel. Using this feature, users can retrieve a large number of metadata records from multiple libraries rapidly and easily, thus enabling them to inspect them and evaluate them.

OAIPMH.com has improved the process of validating new and existing OAI-PMH enabled libraries. Anyone concerned can evaluate digital libraries using a quick and intuitive tool.



## VIII. CONCLUSIONS AND FUTURE DEVELOPMENTS

The Greek Cultural Heritage Institutions implemented interoperable digital libraries, digitized valuable historic content, populate, and preserve it through Europeana service. They enriched their metadata quality, familiarized their staff with digital skills and developed new services for their users. On the other hand, the EuropeanaLocal Greek team developed software tools, which facilitated the Geek CHI to implement interoperable digital collections by reducing the cost and the human effort. Automated harvesting procedures were established.

The Geek Cultural Heritage Institutions have to take advantage of the knowledge that achieved during EuroepeaLocal, to implement repositories and to contribute more content to Euroepana service. These synergetic schemes, especially in this economic crisis, enhance the viability of the Greek Cultural Heritage Institutions and content. In this context, European Commission should support similar projects, because this is of great importance for the European cultural heritage.

Finally, as the most important future obstacle and because VCPL has the aggregation obligation only through EuroepanaLocal, a national Hellenic aggregator that will continuously support the content providers, share knowledge and expertise between partners should be established.

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# Integrating a Repository with Research Output and Publications: The case of the National Technical University of Athens

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**Abstract:** The paper describes the progress of the main Institutional Repository (IR) of the National Technical University of Athens (NTUA) and its current development. The new challenges presented are the enhancement of the repository with new services and collections. One of the major collections which is under development is the University's research output. We have already started populating the collection with papers and presentations published by the members of NTUA's academic community. Our first step was to establish new self-archiving routines for the academic staff and faculty members. This included new forms and directions, to support the deposit of their publications. The essential goal of the IR integration is to gather all of NTUA's scientific and technical content and simultaneously to provide new, personalized and advanced services.

**Keywords:** Institutional repositories, Integration, Scholarly publishing, Open access, Digital content.

#### I. INTRODUCTION

The National Technical University of Athens (NTUA) is the oldest and most prestigious educational institution of Greece in the field of technology, and has contributed unceasingly to the country's scientific, technical and economic development since its foundation in 1836. Recently (scoring results 2010), NTUA achieved to rank among the European Research Institutions according to the European Research Ranking (2011). The NTUA personnel include more than 700 professors and scientific assistants and there are many researchers as well. The total number of undergraduate students is about 13000 and the post-graduate students are 4000. Professors, researchers, students and staff consists a vital and productive community participating in numerous valuable projects and publications. A recent survey on the number of research projects sponsored by the European Commission classifies NTUA in the 2<sup>nd</sup> place among all European universities and in the 4th place among all European organizations (NTUA, 2011). The amount and the high standards of this research are proved by the numerous publications in scientific journals and proceedings of international conferences. All these publications need to be collected and disseminated to broader scientific communities. The concept is to exploit all the publications and the other research output. The ideal way to exploit these publications is through the IR service. The whole idea is described in detail in a related Conference paper (Kouis

and Kokkinos, 2009). More specifically, the suggested model of a digital library with technical digital content is illustrated with regard to aggregating and displaying the research papers or data produced at NTUA.

The Central Library of NTUA started operating in 1914. It serves the NTUA teaching staff, assistants, researchers, administrative staff and students, as well as scientists and students from other universities and institutions. It offers a variety of services either locally, or remotely. There are 15.000 registered Library users (students, staff and faculty). The Central Library has established a new Open Access Institutional Repository (IR) policy on July 2007. The IR contains *Electronic* Theses and Dissertations (ETDs) combined with a submission tool/service and a growing collection of scientific publications (NTUA Central Library, 2011). It is based on a DSpace installation (DSpace, 2011), using Dublin Core (DC) descriptive metadata and Open Access Initiative Protocol for Metadata Harvesting (OAI-PMH) for interoperability and inter-connectivity reasons. At the time of writing this paper (September 2011), there are approximately 3000 ETDs stored in the repository, where the majority are diploma theses, providing worldwide access to full text in PDF format. The NTUA IR, as part of the NTUA Digital Library, is participating in the European Digital Library (Europeana, 2011) contributing cultural digital content, that gave the opportunity to make local digital content available to all the citizens in Europe. Despite the fact that the majority of our digital items are in Greek, the cultural and scientific digital content is now accessible to a wide European community. It is remarkable that Europeana's collection reaches 15 million items and 1500 institutions have contributed to Europeana.

The NTUA IR is indexed in the *Directory of Open Access Repositories* (DOAR) and in the *Registry of Open Access Repositories* (ROAR); additionally the NTUA Digital Library, including the IR, is indexed in the *Greek Digital Resources Index*. It is useful to point out that, through the NTUA's Digital Library is also provided access to unique digitized collections. There are two separate collections, the first with digitized rare books (Greek Scientific and Technical Bibliography: 1830-1940) in jpeg format (one image per page) and the second one with digitized rare journals in PDF format (1 PDF file per article). The digitized material (monographs and journals) belongs to the Historical Library of NTUA and their subjects cover, among others, the fields of technology, history of sciences and